

# **Generative Adversarial Networks (GANs)**

## **Past, Present, and Future**

**Zhifei Zhang**

# Outline

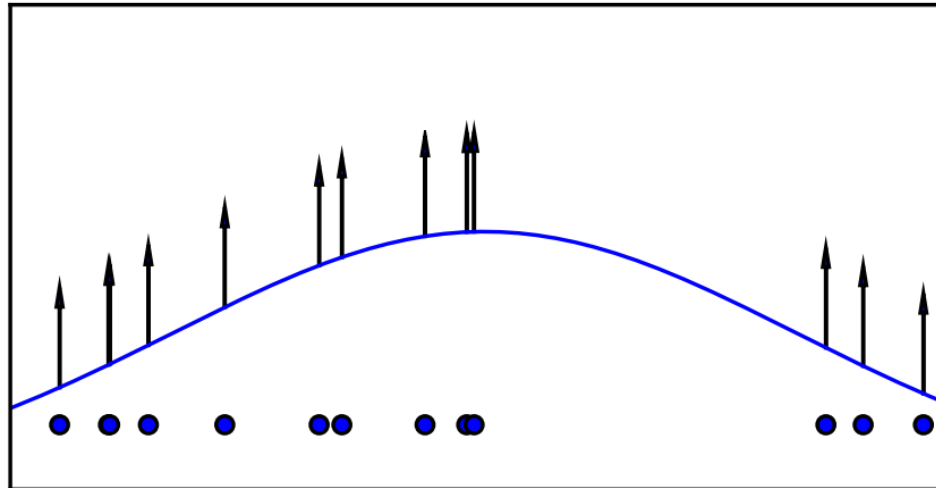
- 1. Basic idea of GANs**
- 2. Time line of development**
- 3. Drawbacks & solutions**
- 4. Future directions**

# Basic idea of GANs



In probability and statistics, a **generative model** is a model for **randomly** generating observable data values, typically given some **hidden parameters**.

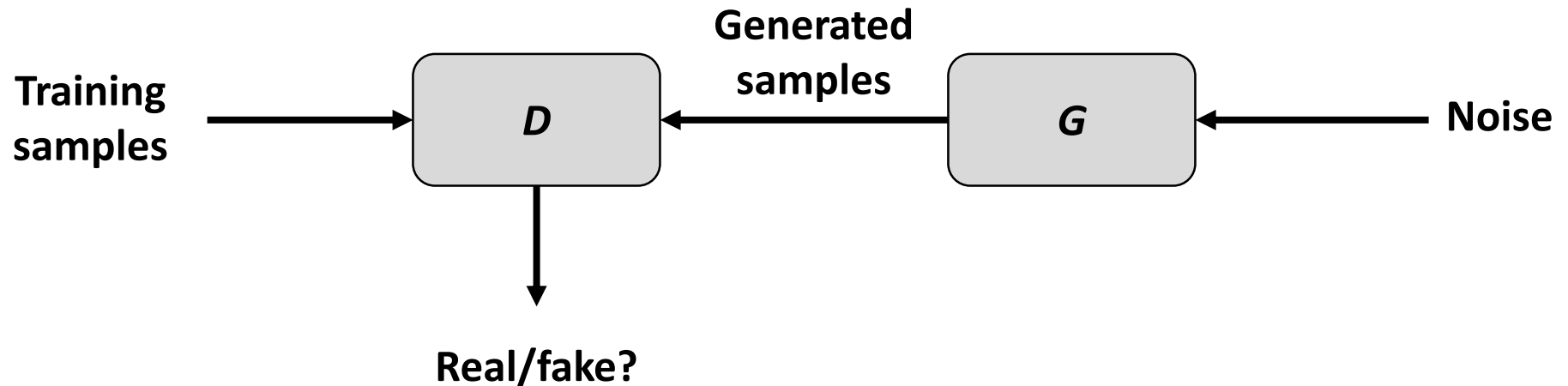
Maximum Likelihood



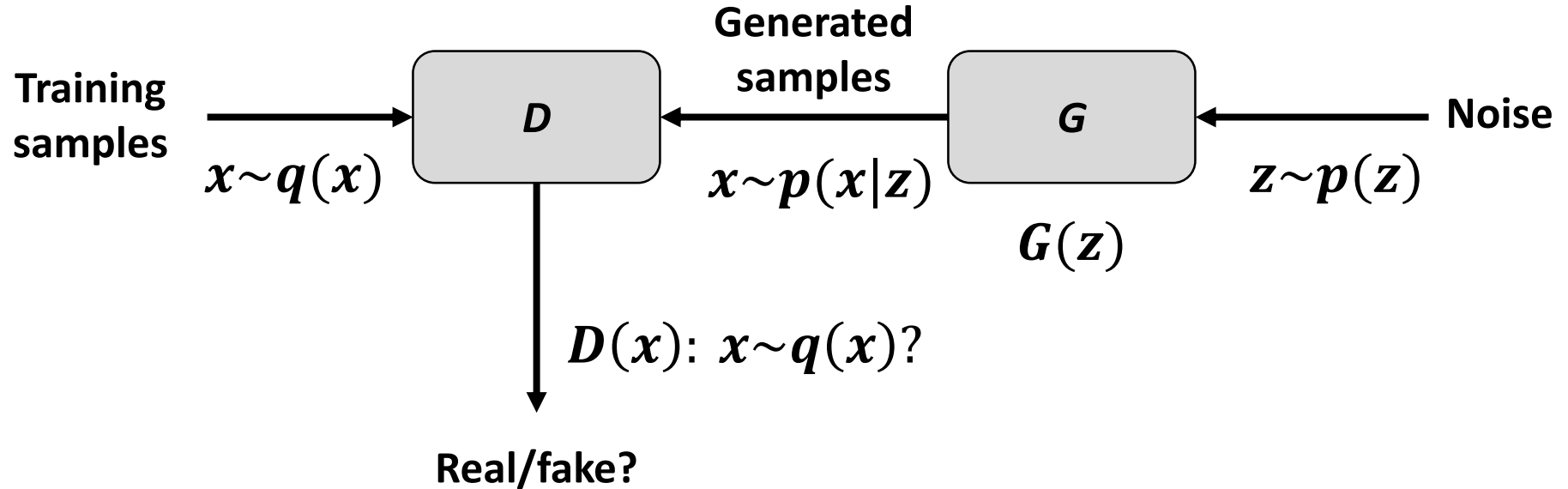
$$\theta^* = \arg \max_{\theta} \mathbb{E}_{x \sim p_{\text{data}}} \log p_{\text{model}}(x | \theta)$$

# Basic idea of GANs

- Two neural networks against each other:
  - A **generator** network  $G$ 
    - Mimic training samples to fool the discriminator
  - A **discriminator** network  $D$ 
    - Discriminate training samples and generated samples



# Basic idea of GANs



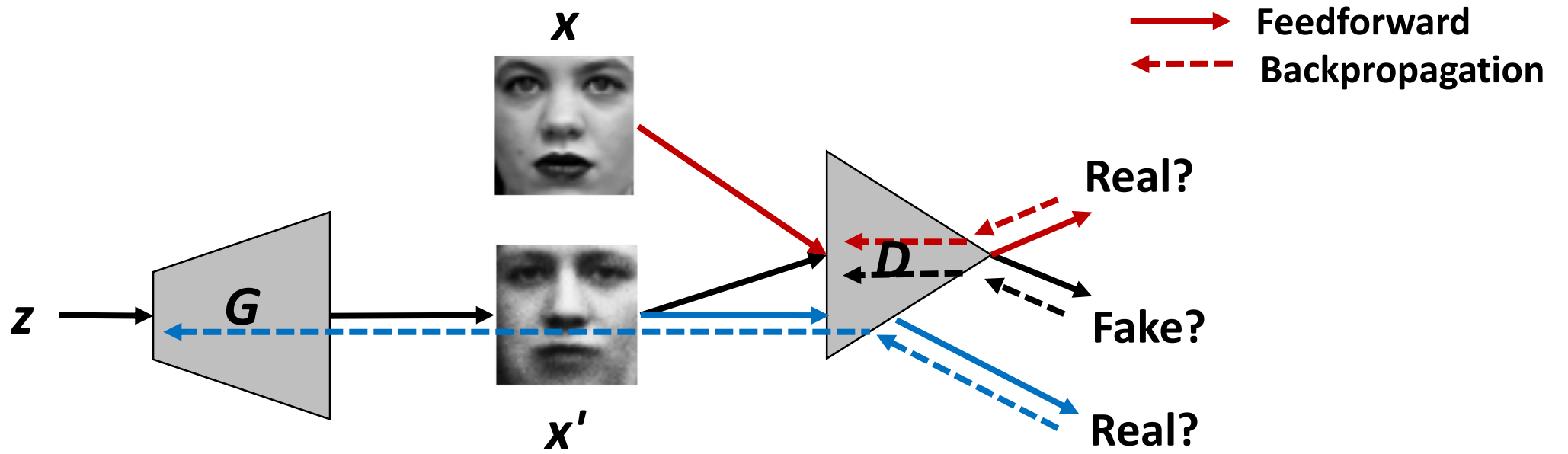
**For  $D$ :**  $\max_D \mathbb{E}_{x \sim q(x)} [\log(D(x))] + \mathbb{E}_{z \sim p(z)} [\log(1 - D(G(z)))]$

**For  $G$ :**  $\min_G \mathbb{E}_{z \sim p(z)} [\log(1 - D(G(z)))]$

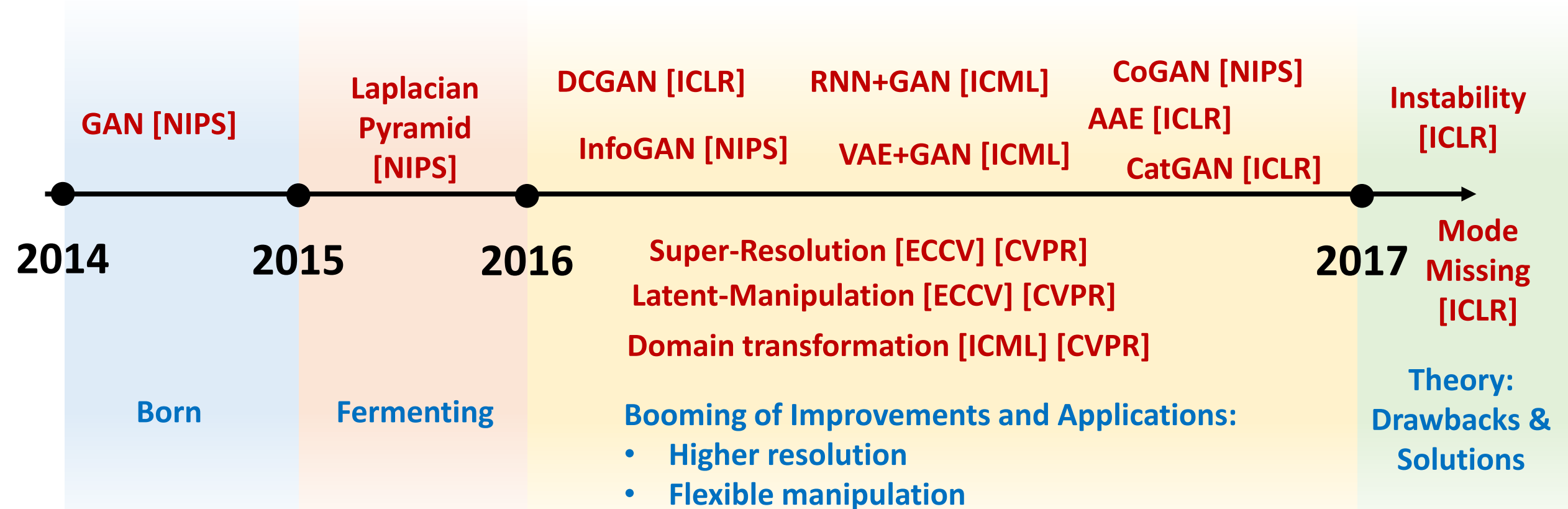
# Basic idea of GANs

The objective function of GANs:

$$\min_G \max_D \mathbb{E}_{x \sim q(x)} [\log(D(x))] + \mathbb{E}_{z \sim p(z)} [\log(1 - D(G(z)))]$$

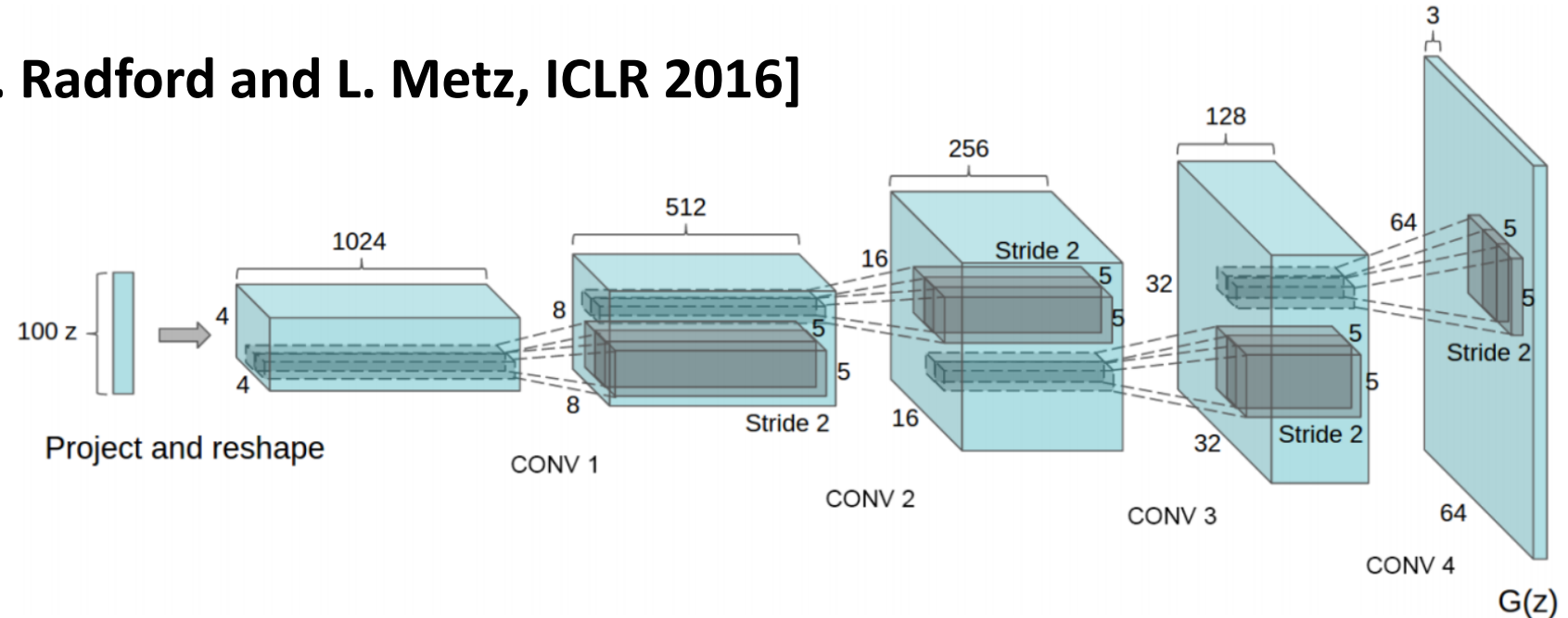


# Time line



# Time line

## DCGAN [A. Radford and L. Metz, ICLR 2016]



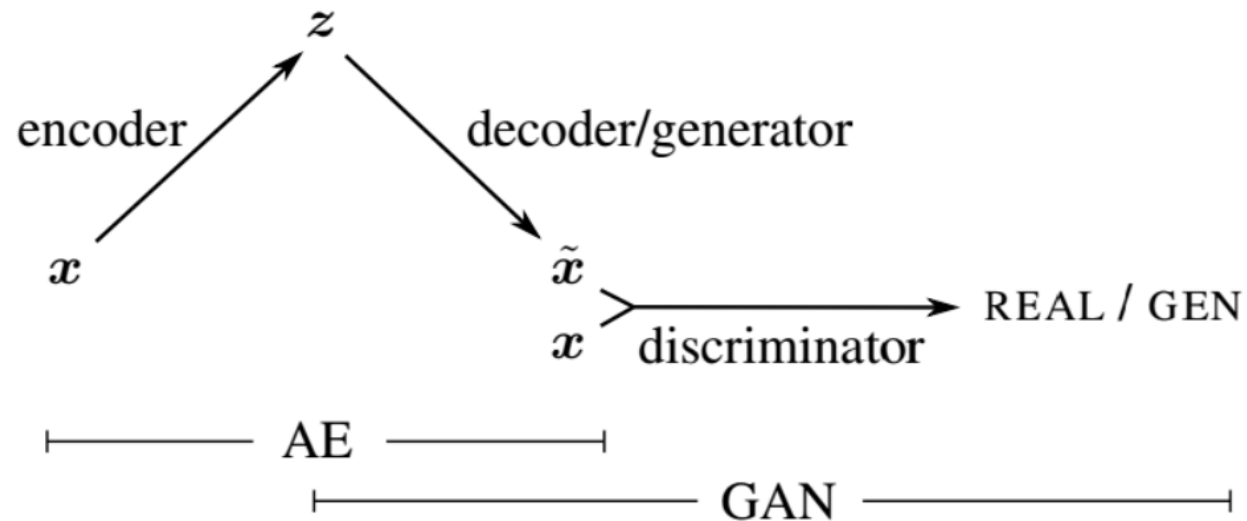
### Architecture guidelines for stable Deep Convolutional GANs

- Replace any pooling layers with strided convolutions (discriminator) and fractional-strided convolutions (generator).
- Use batchnorm in both the generator and the discriminator.
- Remove fully connected hidden layers for deeper architectures.
- Use ReLU activation in generator for all layers except for the output, which uses Tanh.
- Use LeakyReLU activation in the discriminator for all layers.



# Time line

VAE+GAN [A. B. L. Larsen et al., ICML 2016]



- **GAN encourages higher resolution compared to VAE**
- **Controllable generation and manipulation on  $z$**

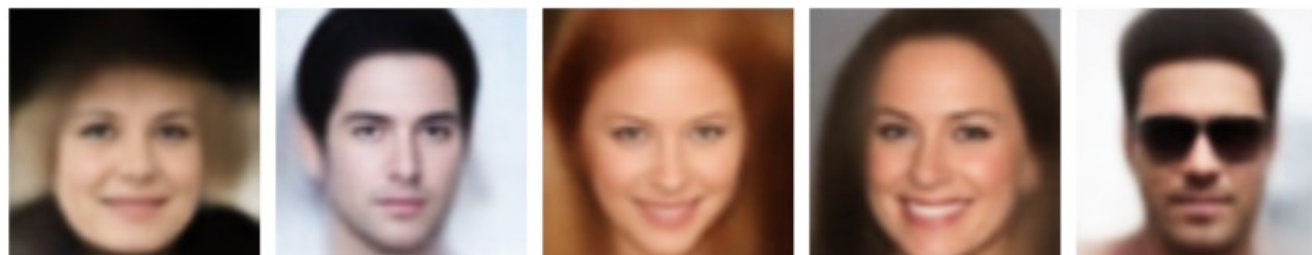
# Time line

VAE+GAN [A. B. L. Larsen et al., ICML 2016]

Input



VAE

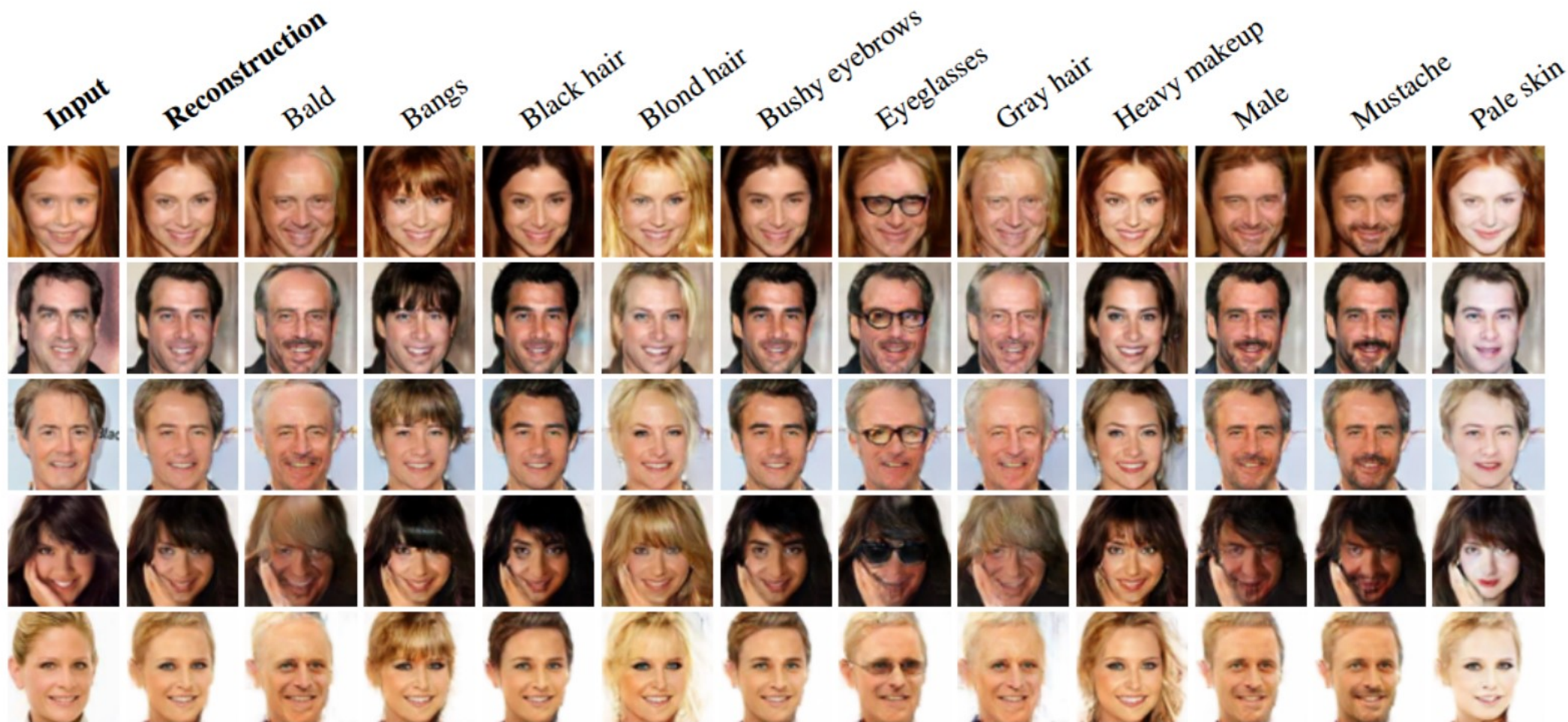


VAE/GAN



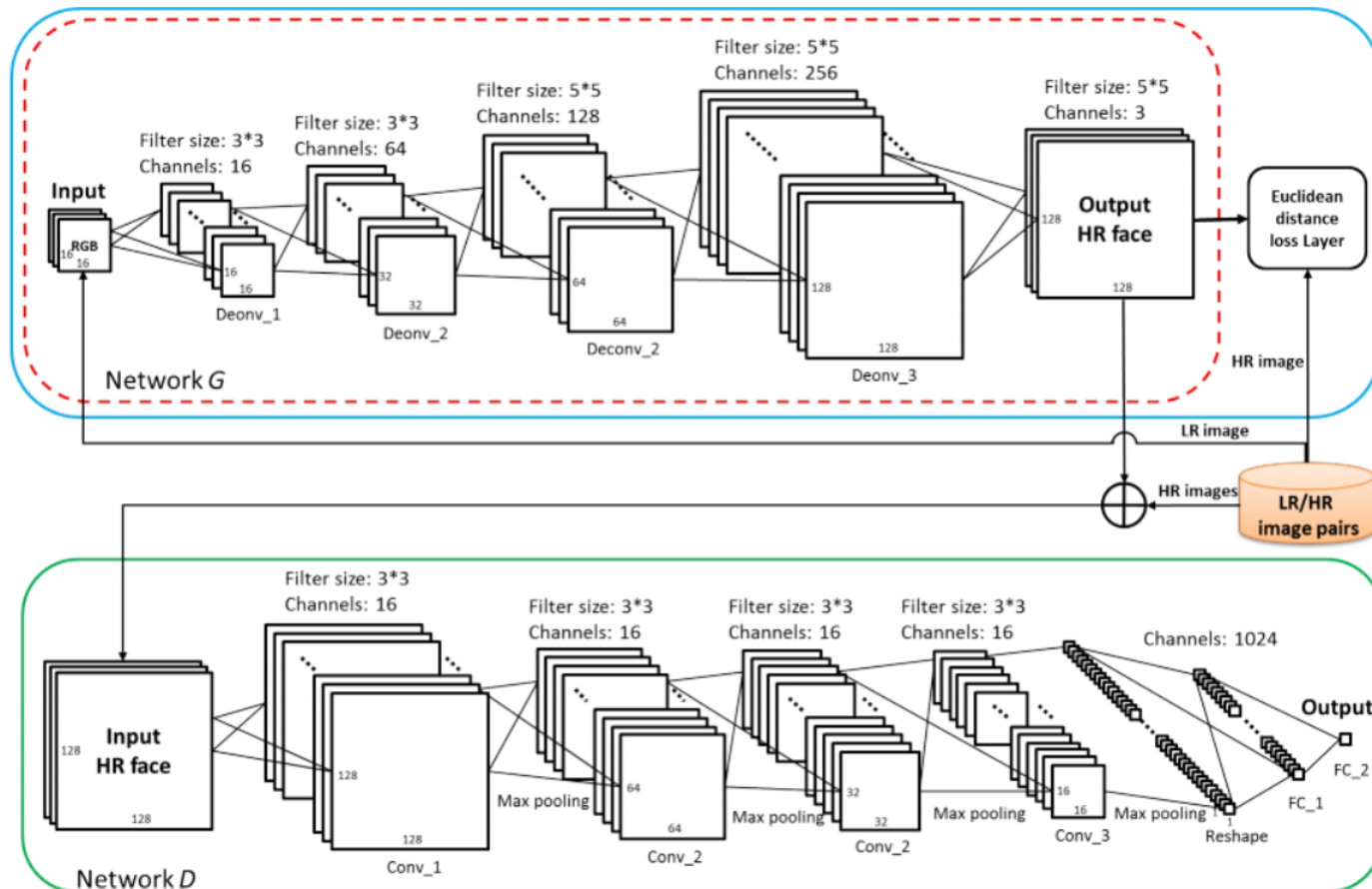
# Time line

VAE+GAN [A. B. L. Larsen et al., ICML 2016]



# Time line

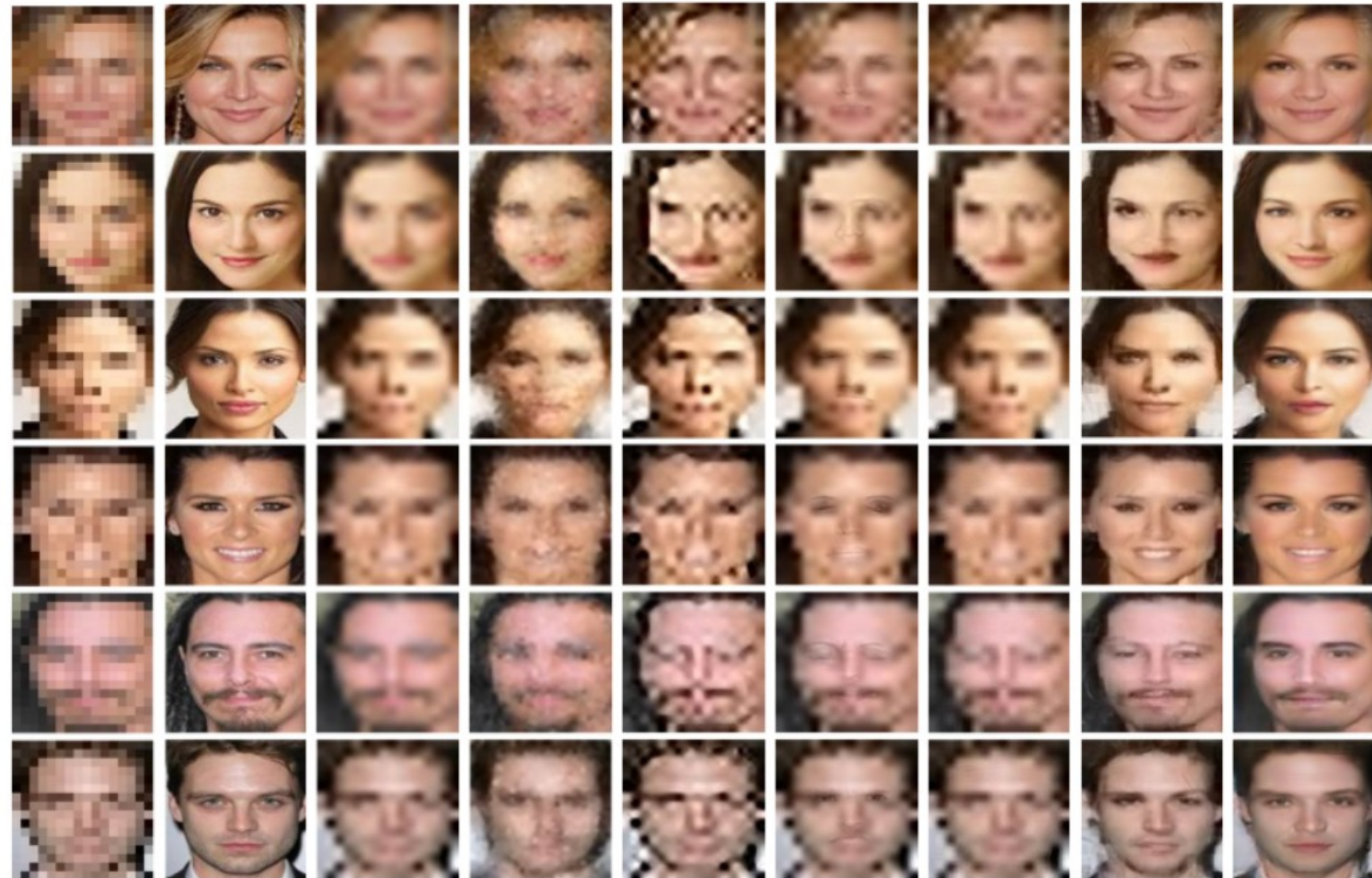
## Super-Resolution [X. Yu and F. Porikli, ECCV 2016]



- **8x scaling factor**

# Time line

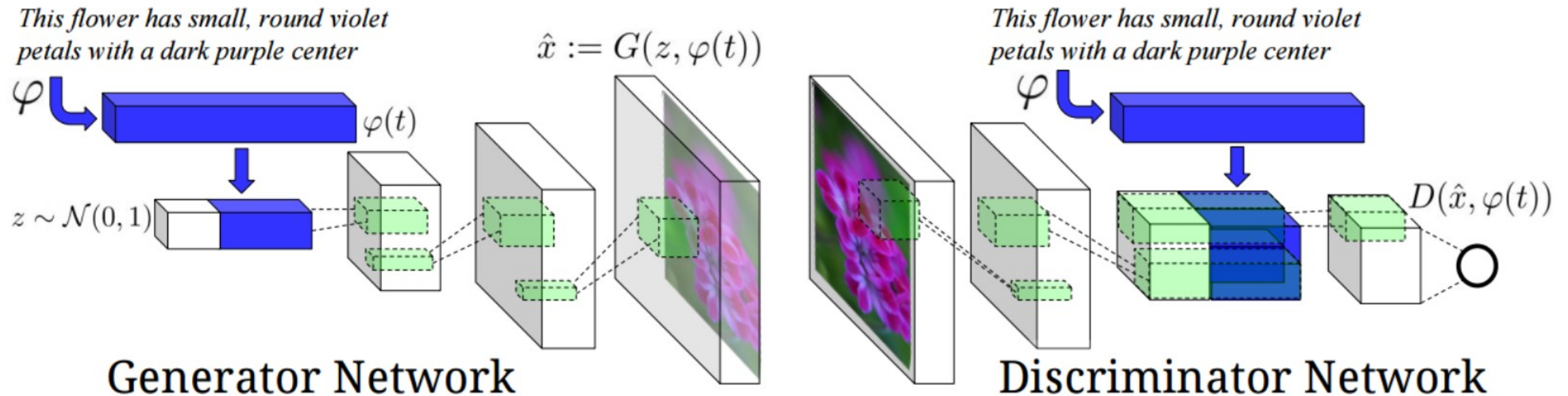
Super-Resolution [X. Yu and F. Porikli, ECCV 2016]



(a) LR (b) HR (c) bicubic (d) [5] (e) [7] (f) [10] (g) [16] (h) [8] (i) Ours

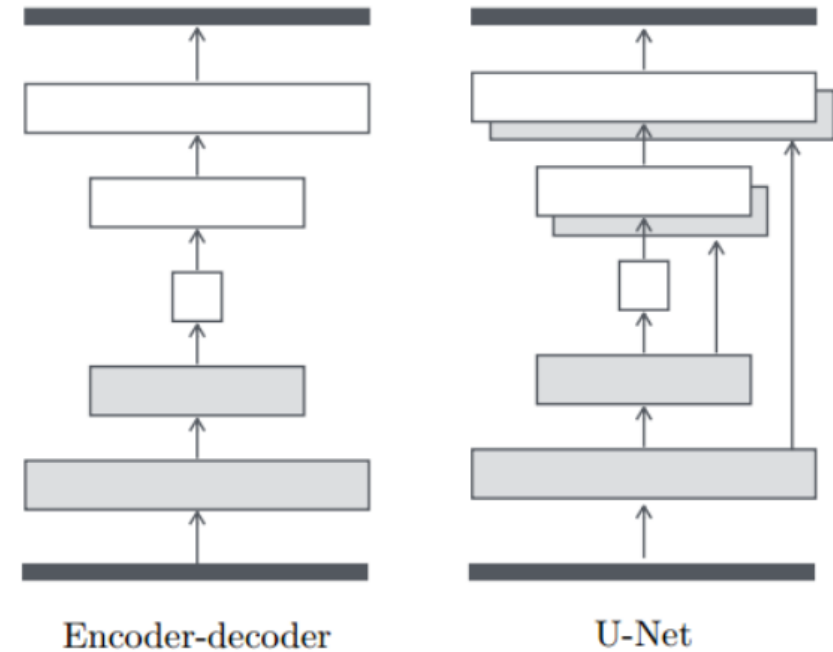
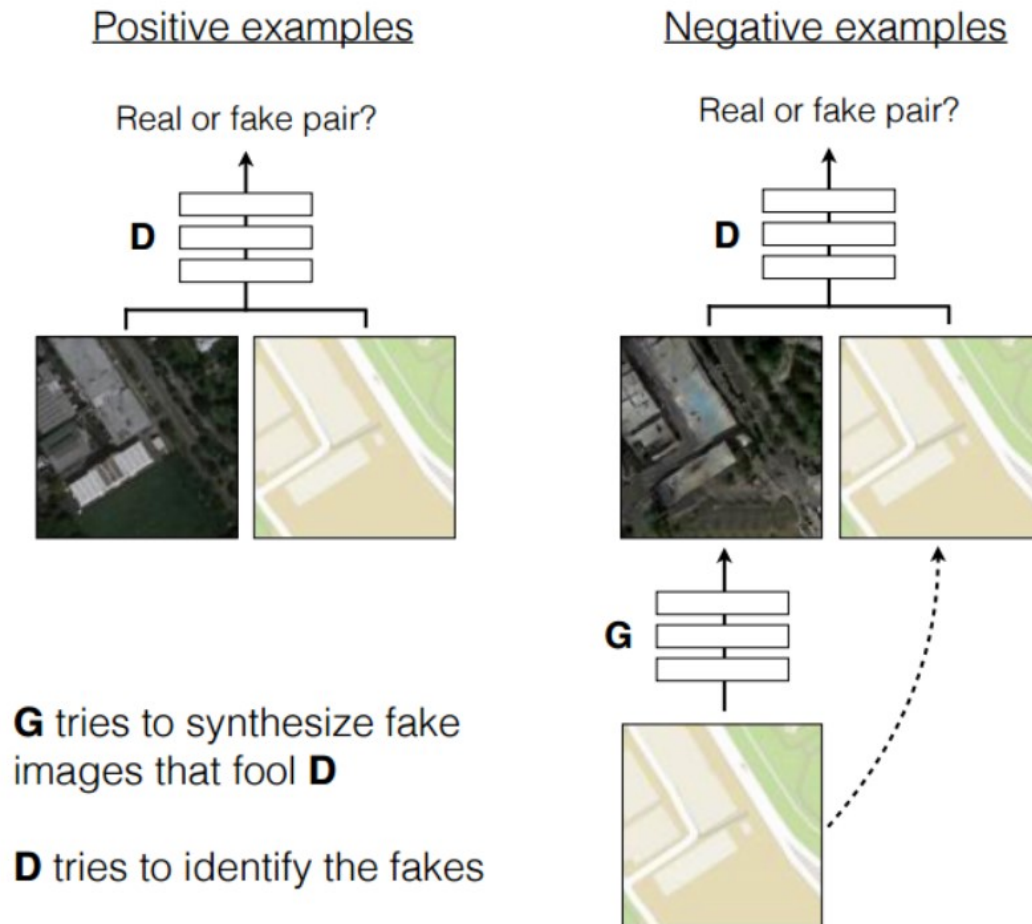
# Time line

## Domain Transformation1 [S. Reed et al., ICML 2016]



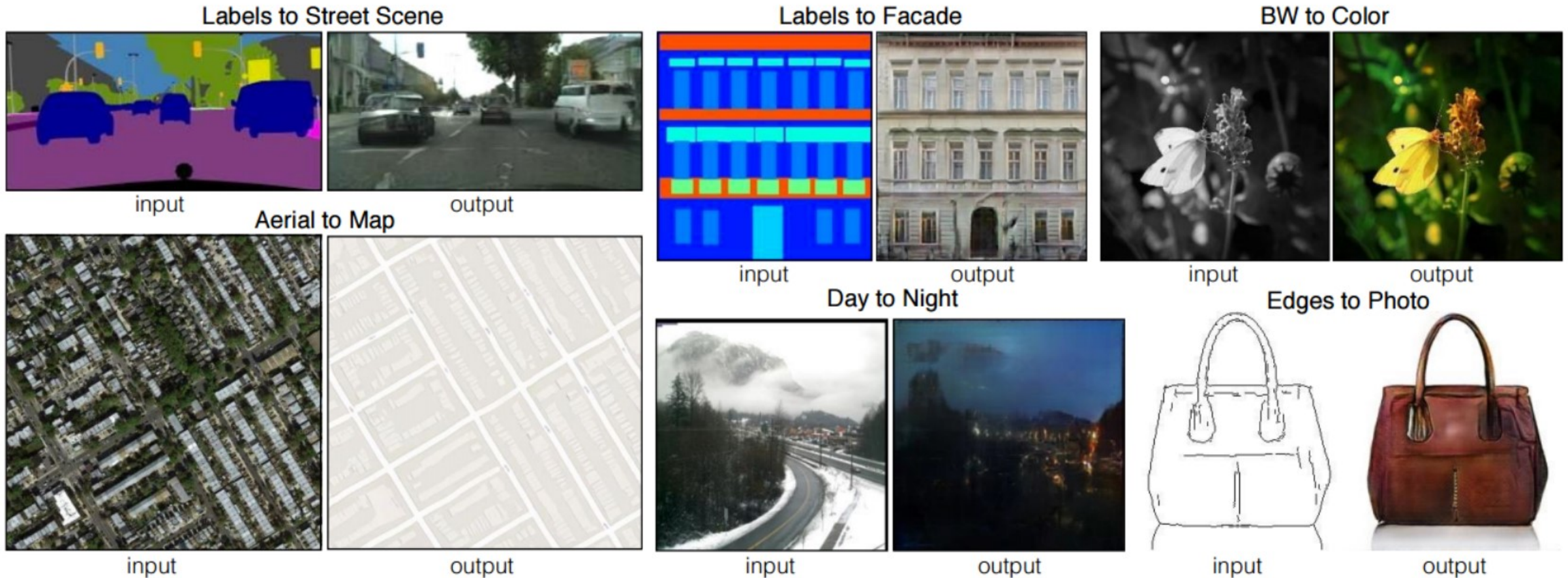
# Time line

## Domain Transformation2 [P. Isola et al., CVPR 2017]



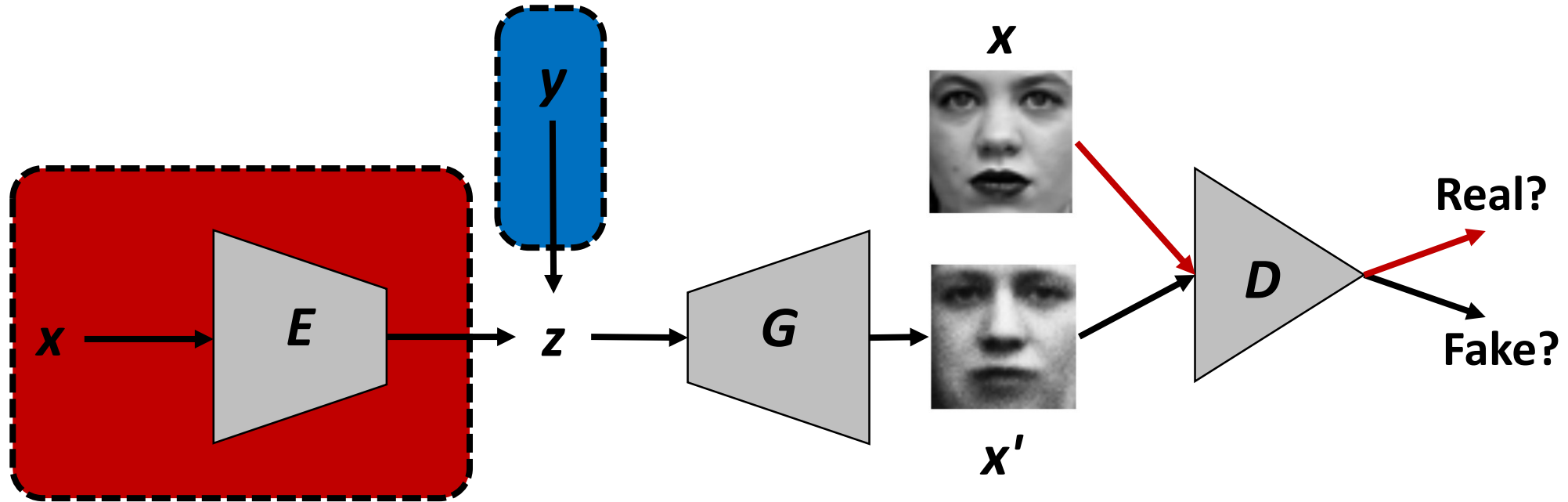
# Time line

## Domain Transformation2 [P. Isola et al., CVPR 2017]





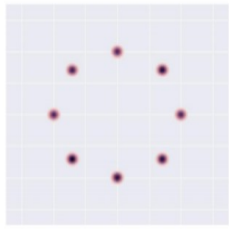
# Summary



- Seldom use original GAN
- Concatenate an encoder to  $G$
- Concatenate extra feature to  $z$

# Drawbacks

- **Hard to train, e.g., mode missing.**
- **Generate something but not real actually.**
- **Hard to learn to generate discrete data, e.g., text.**



Target



Step 0

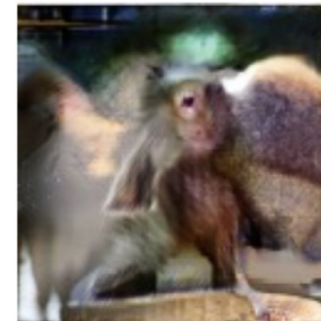
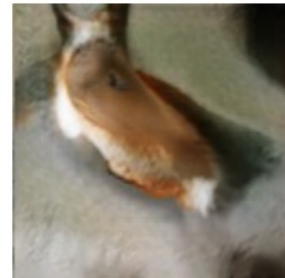
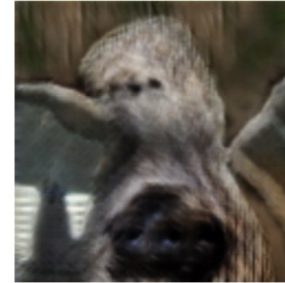
Step 5k

Step 10k

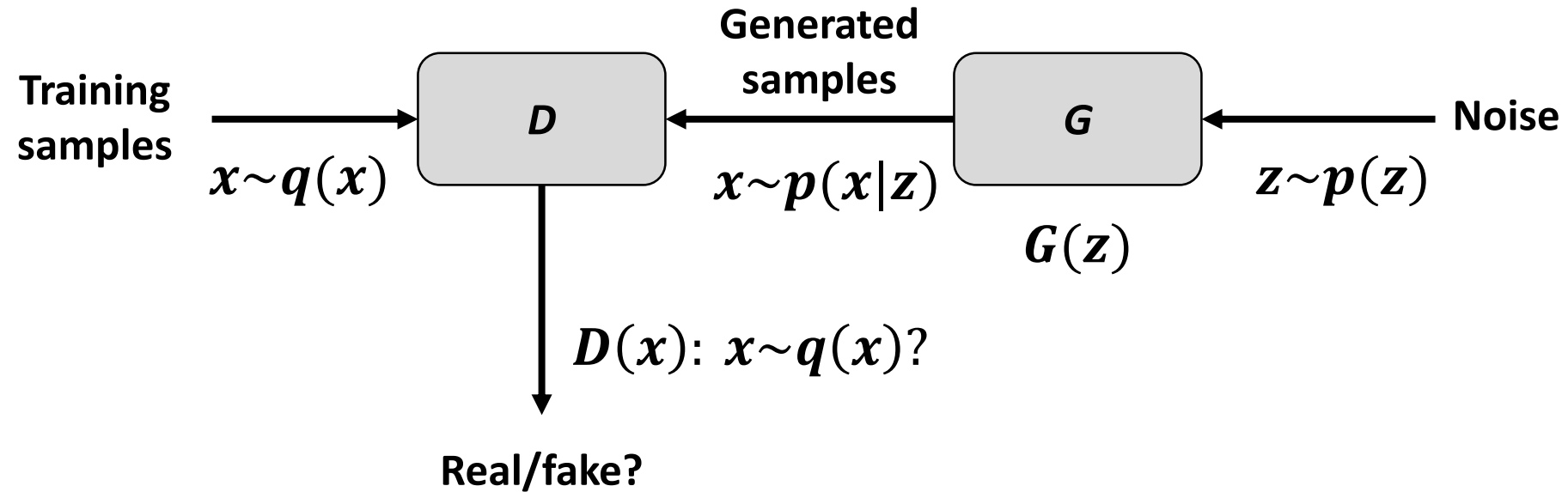
Step 15k

Step 20k

Step 25k



# Drawbacks (mode missing)



$$\min_G \max_D \mathbb{E}_{x \sim q(x)} [\log(D(x))] + \mathbb{E}_{z \sim p(z)} [\log(1 - D(G(z)))]$$

$$\min_G \max_D \int_x q(x) \log(D(x)) dx + \int_z p(z) \log(1 - D(G(z))) dz$$

# Drawbacks (mode missing)

**Fix  $G$ ,**

$$\min_G \max_D \int_x q(x) \log(D(x)) dx + \int_z p(z) \log(1 - D(G(z))) dz$$

$$= \max_D \int_x q(x) \log(D(x)) + p_g(x) \log(1 - D(x)) dx$$

$$D^*(x) = \frac{q(x)}{q(x) + p_g(x)}$$

**Fix  $D^*$ ,**

$$= \min_G \int_x q(x) \log\left(\frac{q(x)}{q(x) + p_g(x)}\right) + p_g(x) \log\left(1 - \frac{q(x)}{q(x) + p_g(x)}\right) dx$$

$$= \min_G \int_x q(x) \log\left(\frac{q(x)}{q(x) + p_g(x)}\right) + p_g(x) \log\left(\frac{p_g(x)}{q(x) + p_g(x)}\right) dx$$

$$= \min_G D_{KL}(q||q + p_g) + D_{KL}(p_g||q + p_g)$$

$$= \min_G D_{KL}(q||\frac{q + p_g}{2}) + D_{KL}(p_g||\frac{q + p_g}{2}) - 2 \log 2$$

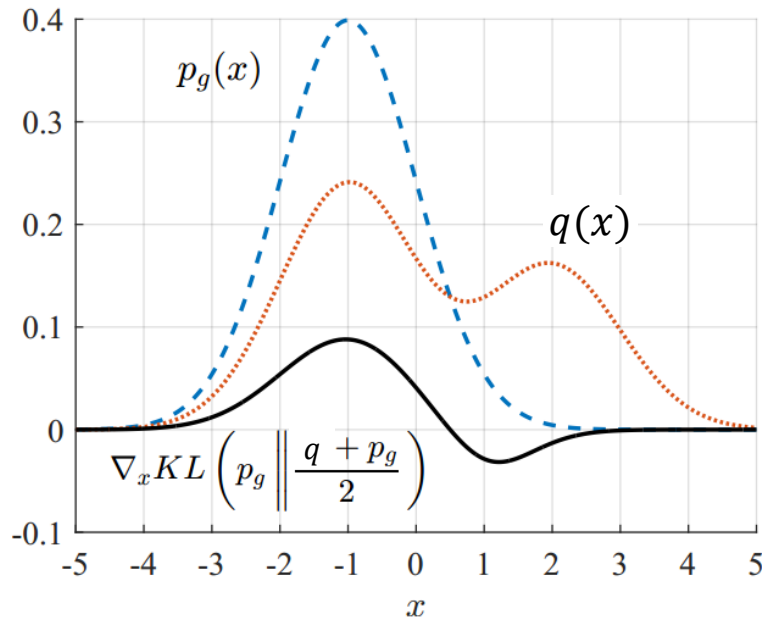
$$= \min_G 2D_{JS}(q||p_g) - 2 \log 2$$

$q(x) < p_g(x)$ : Unrealistic sample  
 $q(x) > p_g(x)$ : Mode missing

# Drawbacks (mode missing)

However, we cannot ensure  $D^*$  in practice.

$$\begin{aligned} & \min_G \mathbb{E}_{z \sim p(z)} [\log(1 - D(G(z)))] \\ &= \min_G D_{KL}(p_g \parallel \frac{q + p_g}{2}) - \log 2 \end{aligned}$$



- Punish more on generating unrealistic samples
- Punish less on mode missing

If relax the assumption of  $D^*$ ,

$$\min_G \mathbb{E}_{z \sim p(z)} [\log(1 - D(G(z)))]$$

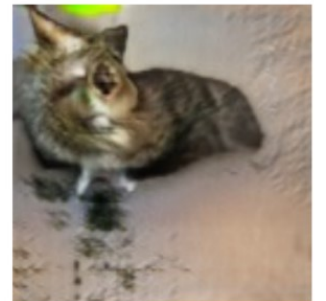
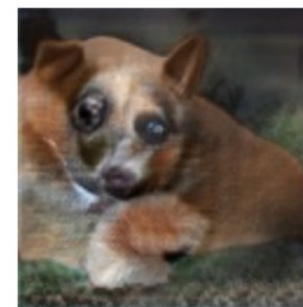
only punish on generating unrealistic samples.

# Drawbacks (unrealistic generation)

In GANs, the generated distribution is matched to the distribution specified by  $D$ , rather than to the real distribution.

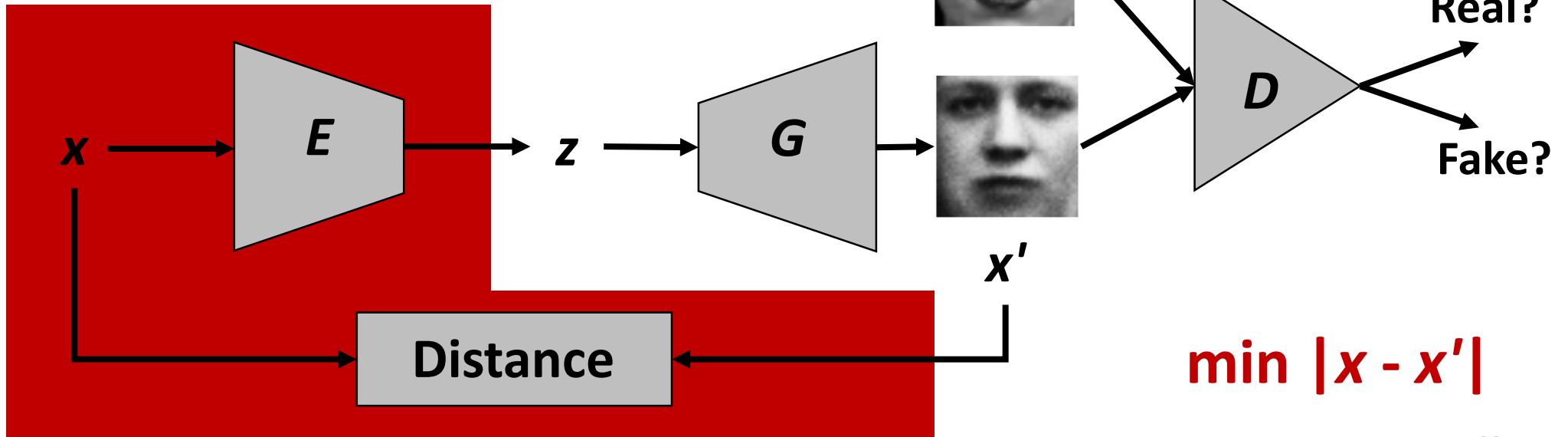
Ideally,  $p_g(x) = q(x)$ ,  
direct matching.

In practice,  $D(x|p_g) = D(x|q)$ ,  
indirect matching.



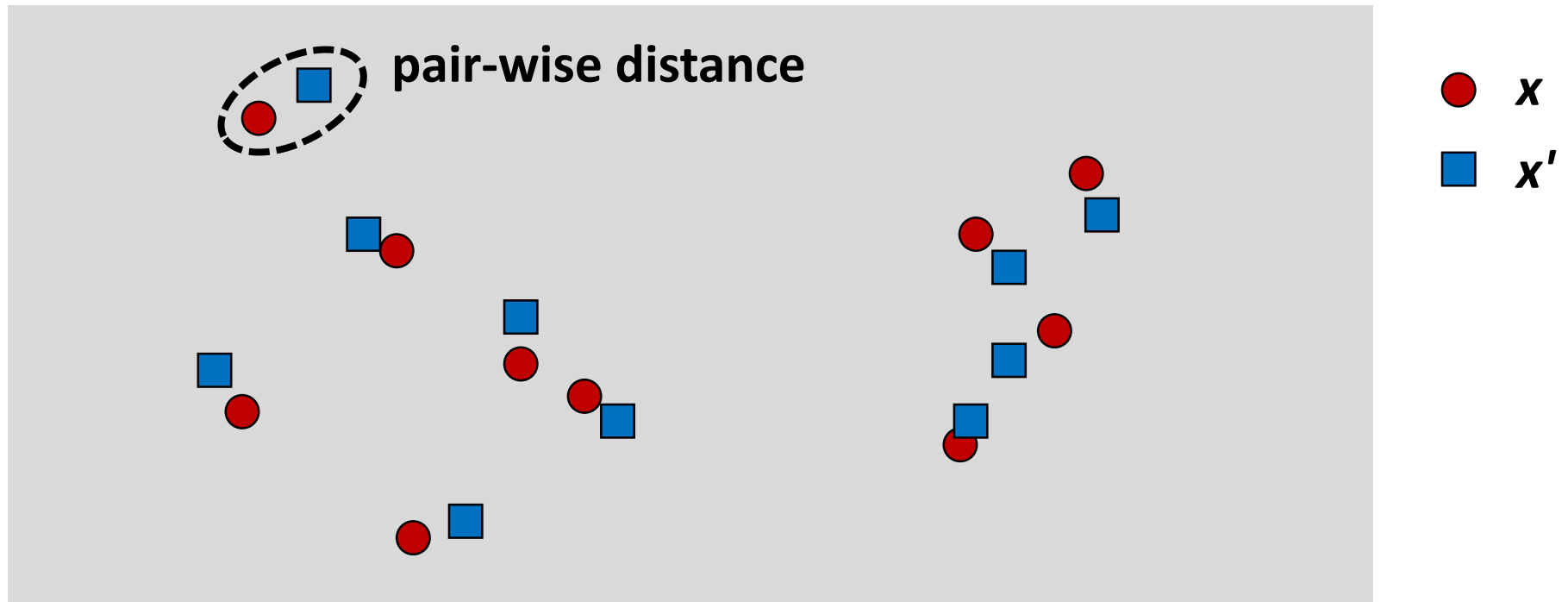
# Drawbacks (Solutions)

- Achieve  $D^*$ :
  - Update  $D$  multiple times for each update of  $G$ .
  - Unrolled GAN [Metz et al., ICLR 2017]
- Introduce direct matching:
  - Sample-wide distance.



# Drawbacks (Solutions)

$$|x - x'| = |x - F(x)|$$

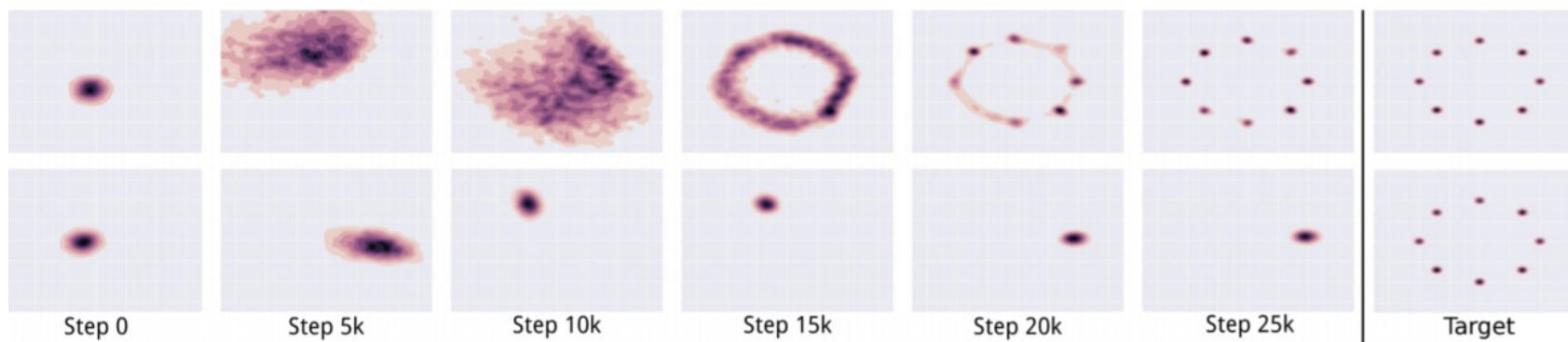


Mont Carlo  $\rightarrow q(x) = p_g(x)$

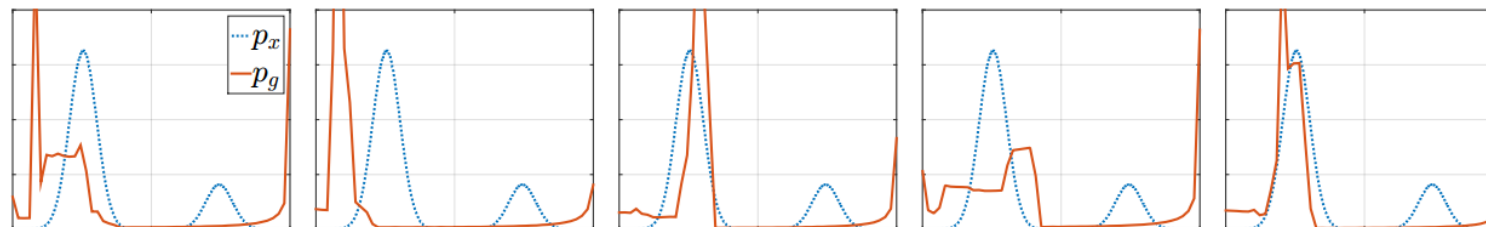


# Drawbacks (Solutions)

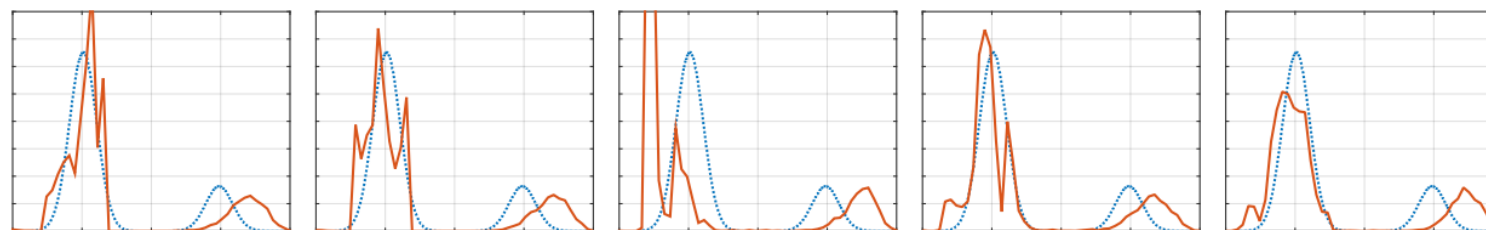
Unrolled  
GAN



GAN



GAN+E



# Future Directions

- **After explosion of applications, theoretical understanding becomes more attractive.**
- **Better objective functions to ensure convergence.**
- **There is not a good way to quantify how good the generated samples are.**
- **Generating discrete samples.**
- **One-shot imitation learning.**
- **Connection to reinforcement learning.**



thank  
you